

35.C10698 CI

PATENT APPLICATION

126-240<sup>00</sup>  
#30/IDS  
9-22-99  
REC'D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
SHOICHI YAMAZAKI, ET AL. ) Examiner: R. Mack  
Appln. No.: 08/959,285 ) Group Art Unit: 2873  
Filed: October 24, 1997 )  
For: HEAD-UP DISPLAY DEVICE ) September 8, 1999  
WITH CURVED OPTICAL :  
SURFACE HAVING TOTAL :  
REFLECTION (AS AMENDED) :

Assistant Commissioner for Patents  
Washington, DC 20231

1999 SEP -8 PM: 4:26  
TECHNOLOGY CENTER - 2800

SIXTH INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 CFR 1.56 and in accordance with the practice under 37 CFR 1.97 and 1.98, the Examiner's attention is directed to the document listed on the enclosed Form PTO-1449. Copies of the

09/20/1999 TTUDS1 in 00000022 08952455ents are also enclosed.

01 FC:126

**240.00 DP**  
The Examiner's attention is also directed to the following U.S. Application:

<u>APPLN. NO.</u>	<u>FILING DATE</u>	<u>GROUP ART UNIT</u>	<u>STATUS</u>
09/333,998	June 16, 1999	2873	Pending

In accordance with 37 C.F.R. § 1.98(a)(2)(iii), no copy of any cited U.S. Application is enclosed.

REMARKS

It will be appreciated that the above-listed Application No. 09/333,998 is a divisional of the subject application; accordingly, the Examiner's attention is respectfully directed to the claims thereof.

It will also be appreciated that U.S. Patent No. 5,875,056 (Takahashi '056) is a continuation of U.S. Patent No. 5,701,202 (Takahashi '202). As discussed in the Preliminary Amendment filed December 23, 1998, Claims 27 through 77 of the subject application were copied exactly or in modified form from Takahashi '202.

Applicants respectfully note that U.S. Patent No. 5,768,024 (Takahashi '024) discloses and claims, inter alia, an ocular optical system having first, second, and third surfaces, wherein the second surface is a reflecting surface that reflects light rays in the ocular optical system, the second surface having such a surface configuration that a surface configuration in a plane (YZ-plane) containing light rays turned back by the reflecting surface is different from a surface configuration in a XZ-plane perpendicular to the YZ plane.

Applicants respectfully submit, however, that Takahashi '024 does not qualify as prior art under the meaning of 35 U.S.C. §§ 102 and 103.

It will be appreciated that U.S. Patent Nos. 5,539,422 (Heacock, et al.) and 5,546,227 (Yasugaki, et al.)

were cited in Takahashi '024. The remaining documents cited in Takahashi '024 were previously cited in the subject application.

Lastly, turning to the two Japanese documents cited herein, Applicants respectfully submit that Japanese Laid-Open Patent Application No. 4-242630 corresponds to Japanese Patent Application No. 3-11492, which is referenced at page 27, lines 12 and 13 of the specification. It will be appreciated that the Amendment filed June 16, 1999, corrects the citation thereof. Applicants respectfully submit that U.S. Patent No. 5,598,248 is a U.S. counterpart of the Japanese application. With respect to Japanese Laid-Open Patent Application No. 4-343313, Applicants respectfully submit that U.S. Patent No. 5,671,062 is a U.S. counterpart. Abstracts for each of the two Japanese documents were obtained from a commercial database and are also enclosed.

FORMAL MATTERS

Accompanying this Information Disclosure Statement is a check for \$240.00 to cover the fee under 37 CFR 1.17(p). Any deficiency in or overpayment of this fee should be charged or credited to Deposit Account No. 06-1205. A duplicate copy of this paper is enclosed.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,

Daniel H. Week  
Attorney for Applicants  
Registration No. 37,838

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200

F504\W190202\DSG